

ATLANTIC HIGHLANDS HARBOR COMMISSION



**Monmouth County
New Jersey**

BID PACKAGE

**LEASE OF 20,500 SQ. FT. BANQUET/CATERING HALL SPACE AT
4 SIMON LAKE DR., ATLANTIC HIGHLANDS, NJ 07716**

**OPENING OCTOBER 31, 2025
TIME – 12:00 PM**

HARBOR COMMISSIONERS

Thomas Wall
Robert Sutton
Vito Colasurdo
Alyson Forbes
James Krauss
Curtis Sawyer
Michael Curry

Submitted by: _____

**NOTICE TO BIDDERS
ATLANTIC HIGHLANDS HARBOR COMMISSION**

**NOTICE OF REQUEST FOR PROPOSALS PURSUANT TO THE LOCAL LANDS AND
BUILDINGS LAW N.J.S.A.40A:12-1 *et seq.* FOR LEASE OF ATLANTIC HIGHLANDS
HARBOR COMMISSION OWNED PROPERTY**

NOTICE IS HEREBY GIVEN that sealed proposals will be received by Jessica McLane, Secretary for the Atlantic Highlands Harbor Commission, County of Monmouth, State of New Jersey, on October 31, 2025 12:00pm EST at the Atlantic Highlands Harbor Commission, 2 Simon Lake Dr., Atlantic Highlands, NJ 07716 at which time and place all proposals will be opened and read in public for:

The lease of an approximately 20,500 sq. ft. catering hall facility owned by the Atlantic Highlands Harbor Commission located at 4 Simon Lake Dr., Atlantic Highlands, NJ, Block 7 Lot 5.

The Borough approved a lease for the purpose of operating a banquet/catering hall facility.

The Bid is subject to the approval of the Borough of Atlantic Highlands Governing Body, who, at its option, reserves the right to reject all bids where the highest bid is not accepted.

The Bid is to include proposals for annual rent on a modified gross lease for a permitted use for a minimum of five (5) years with renewal options.

Bid documents are available free of charge for download, in a pdf format, on the Harbor Commission and Borough's website, www.ahnj.com.

All notices of addenda, questions and answers, cancellations, or postponements will be posted at www.ahnj.com.

All bidders are responsible for obtaining complete bid documentation. In the event of any inconsistencies between this advertisement, as published, and the bid documentation, the bid documentation shall control.

Bidders are required to comply with the requirements of N.J.S.A. 10:5-31 *et seq.* and N.J.A.C. 17:27-1 *et seq.*

Sealed bids must be addressed to Jessica McLane, 2 Simon Lake Dr., Atlantic Highlands, NJ 07716. The sealed envelope must be CLEARLY MARKED BID with the name and address of the bidder on the outside of the envelope. **Any proposal received not complying with these requirements shall not be opened and shall be returned to sender.**

Jessica McLane, Secretary, Atlantic Highlands Harbor Commission

Request for Proposal (RFP)

Lease of approximately 20,500-Square-Foot Banquet/Catering Hall Space

Issue Date: September 18, 2025

Submission Deadline: October 31, 2025, 12:00 PM EDT

Property Owner: Atlantic Highlands Harbor Commission

Contact Information: Jessica McLane, Secretary jmclane@ahnj.com 732 291-1670

1. Introduction

Atlantic Highlands Harbor Commission is seeking proposals from qualified tenants/operators to lease an approximately 20,500-square-foot catering hall facility located at 4 Simon Lake Drive, Atlantic Highlands, New Jersey. The Atlantic Highlands Municipal Harbor, located at 2 Simon Lake Drive, Atlantic Highlands, NJ, is a prominent marina in Sandy Hook Bay, recognized as the largest municipal harbor on the East Coast. The marina's location offers scenic views of Sandy Hook Bay and the New York City skyline, with On-site restaurants, gazebos, tennis courts, basketball courts, a playground, skate-park, and a fishing pier. The Hudson Trail and nearby historic downtown with shops and restaurants are within walking distance. The SeaStreak Ferry provides high-speed service to Manhattan.

The property is designed for high-volume event hosting and includes one full catering kitchen and three distinct rooms, each equipped with a full bar. The lease term will be a minimum of five (5) years, with the potential for renewal based on mutual agreement. This RFP invites prospective tenants to submit detailed proposals outlining their qualifications, operational plans, and financial capabilities to operate a successful catering and event business in this premier facility.

2. Property Description

- Location: the ground floor space of building located on Block 7 Lot 5, commonly known as 4 Simon Lake Drive, Atlantic Highlands, New Jersey and formerly operated as Shore Casino.
- Size: 20,525 square feet
- Key Features:
 - One Full Catering Kitchen: Equipped with commercial-grade appliances, ample prep space, and storage for high-volume food preparation.

- Three Event Rooms: Each with a dedicated full bar, flexible layouts, and modern amenities suitable for weddings, corporate events, and private functions.
 - Dance floor in main room.
 - Additional Amenities: parking for vehicles, AV systems, restrooms, place for garbage, covered entryway
 - Zoning: Zoning for catering/event/Restaurant use
 - Condition: Delivery as-is
- The property contains another tenant on the second floor of the premises with a shared access point. The second floor tenant is the Atlantic Highlands Yacht Club, a private members club.
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3. Lease Terms

- Lease Duration: Minimum of five (5) years, with options for renewal.
 - Base Rent: Rent proposals are requested for annual rent amounts.
 - Lease Type: Modified Gross Lease.
 - Tenant Responsibilities: All operating expenses including but not limited to maintenance, utilities, insurance, and waste removal
 - Security Deposit: 2 months' rent.
 - Permitted Use: Operation of a catering hall/event venue, including food and beverage services, in compliance with all local, state, and federal regulations.
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4. Scope of Operations

The selected tenant/operator will be responsible for:

- Operating a professional catering and event business capable of hosting a variety of events (e.g., weddings, corporate functions, social gatherings).
- Maintaining the kitchen and bar facilities in compliance with health, safety, and liquor licensing regulations.

- Providing high-quality customer service to ensure client satisfaction and repeat business.
 - Marketing the venue to maximize bookings and revenue.
 - Maintaining the premises in excellent condition, including routine cleaning and repairs.
-

5. Proposal Requirements

Interested parties must submit a comprehensive proposal including the following:

1. Company Overview:

- Business name, legal entity, and years in operation.
- Experience in operating catering halls, event venues, or similar businesses.
- Key personnel bios, including relevant qualifications and experience.

2. Operational Plan:

- Proposed business model for the catering hall (e.g., types of events, target market).
- Marketing and promotion strategies to attract clients.
- Staffing plan, including roles and qualifications for kitchen, bar, and event staff.
- Sample menus and pricing for catering services.

3. Financial Proposal:

- Proposed monthly/yearly rent and lease terms.
- Financial statements (e.g., balance sheets, income statements) for the past two years.
- Proof of financial capability to cover rent, startup costs, and ongoing operations.
- Projected revenue, expenses and booking estimates for the first 1-3 years.

4. References:

- At least three professional references from clients, vendors, or previous landlords.

5. Licensing and Compliance:

- Confirmation of ability to obtain necessary permits (Special Concessionaire's Permit (in lieu of liquor license), health permits).
- Plan for compliance with local zoning, fire, and safety codes.

6. Vision for the Space:

- Description of how the tenant will utilize the three event rooms and kitchen to maximize the property's potential.
- Any proposed improvements or modifications to the space (subject to Harbor Commission approval).

6. Submission Instructions

- **Deadline:** Proposals must be submitted by October 31, 2025, 12:00 PM EDT.
- **Format:** All bidders must submit one (1) original paper copy of the proposal documents and one (1) USB drive containing all proposal documents for consideration to the Atlantic Highlands municipal harbor office.
- **Questions:** Direct all inquiries to Jessica McLane jmclane@ahnj.com 732 291-1670 by October 15, 2025.
- **Site Visits:** Schedule a tour of the property by contacting Jessica McLane. Tours will be available upon request (See timeline in section 8).

7. Evaluation Criteria

Proposals will be evaluated based on the following:

- **Experience and Qualifications (20 points):** Demonstrated success in operating catering halls or similar venues.
- **Operational Plan (20 points):** Feasibility and creativity of the proposed business model and marketing strategy.

- Rent (20 points): Amount of rent proposed on an annual basis.
 - Financial Capability (20 points): Evidence of financial stability and ability to meet lease obligations.
 - Vision and Fit (15 points): Alignment of the tenant's vision with the property's potential and community needs.
 - References (5 points): Positive feedback from clients, vendors, or landlords.
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8. Timeline

- RFP Issued: September 18, 2025
 - Site Tours: Upon request between September 18 – October 15, 2025
 - Question Deadline: October 24, 2025
 - Proposal Submission Deadline: October 31, 2025, 12:00 PM EDT
 - Bid Opening: October 31, 2025 1:00 PM EDT Atlantic Highlands Boro Hall
 - Review Period: November 1-November 21, 2025
 - Interviews (if needed): November 24-December 8, 2025
 - Tenant Selection: December 9, 2025
 - Lease Execution: TBD
 - Commencement of Operations: TBD
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9. Terms and Conditions

- The property owner reserves the right to reject any or all proposals or to negotiate with any respondent.
- Submission of a proposal does not guarantee selection or a lease agreement.
- All costs associated with proposal preparation are the responsibility of the respondent.
- The selected tenant must provide proof of insurance (general liability, property, and workers' compensation) prior to lease execution.

- The lease agreement will include standard clauses for termination, default, and compliance with local laws.

Submission Contact:

Jessica McLane, Secretary

Atlantic Highlands Harbor Commission

jmclane@ahnj.com

2 Simon Lake Drive, Atlantic Highlands, NJ 07716

We look forward to receiving your proposal and partnering with a qualified operator to bring this premier catering hall to its full potential.

DOCUMENT CHECKLIST FOR BID SUBMISSION

Bidders must initial each item to verify inclusion of required documents.

FAILURE TO SUBMIT ANY OF THESE ITEMS WITH BID IS MANDATORY CAUSE FOR REJECTION:

1. _____ Statement of Ownership Disclosure, N.J.S.A. 52:25-24.2
2. _____ If applicable, Acknowledgement of Addenda

THE FOLLOWING DOCUMENTS ARE REQUIRED TO BE SUBMITTED WITH EACH BID:

These items have been requested as part of each bid submission, but failure to include these items shall not be grounds for rejection.

1. _____ Document Checklist
2. _____ Bid Proposal Form
3. _____ Non-Collusion Affidavit
4. _____ New Jersey Business Registration Certificate
5. _____ Disclosure of Investment Activities in Iran
6. _____ Certification of Non-Involvement in Prohibited Activities in Russia or Belarus
7. _____ Federal Non-Debarment Certification
8. _____ EEO/ Affirmative Action Compliance

The undersigned hereby acknowledges and has submitted the above-listed requirements and has reviewed the entire bid package.

Name of Bidder: _____

Authorized Representative: _____

Signature: _____

Date: _____

Borough of Atlantic Highlands

BID PROPOSAL FORM

Proposed:

(Description of goods/services being bid)

Bidder/Company

Address:

Contact Person

Email

Phone

The undersigned, being authorized, proposes to furnish and deliver the above goods/services pursuant to the bid specifications and made part hereof:

(Amount in words)

(Amount in numbers)

WE ACKNOWLEDGE THE RECEIPT OF THE FOLLOWING ADDENDA:

☐ **No addenda were received.**

Addendum Number

Date

Initial

Signature of Authorized Agent

Attest

Print Name & Title of Authorized Agent

Print Name & Title of Attest

Date

Date

COST DETAILS/SIGNATURE PAGE

SPECIALTY _____

NAME AND TITLE OF EMPLOYEE WHO WILL PERFORM SERVICES **	HOURLY RATES	EXPENSES	TIME ESTIMATE, IF APPLICABLE
Travel Time			
Fax			
Postage			
Copies			
Other: <i>please specify</i>			
Other: <i>please specify</i>			

Please use additional sheet(s) if necessary.

Any fee or cost not specified in your proposal is to be included within the hourly fee proposed.

TOTAL COST, where appropriate, total cost "not to exceed" amount

\$_____ In words:_____

**Please include on a separate sheet the definition of the title used. The definition should reference the level of experience, licensing, and/or parameters of the job function.

Contract will be awarded by price and other factors considered.

The undersigned hereby declares that they have carefully examined the requirements of the specifications contained herein and propose the following for the bid and if awarded the contract, he/she will provide services as specified by the Specifications.

SIGNATURE: _____

NAME PRINTED: _____

FIRM: _____

ADDRESS: _____

PHONE: _____ FAX: _____

EMAIL: _____

DATE: _____

FEE PROPOSAL TO PROVIDE THE SERVICES OUTLINED ABOVE

This fair and open process proposal is for the Request for Proposal/Request for Qualifications. Contract award(s) shall be made with reasonable promptness by written notice to that responsible bidder(s), whose bid proposal(s), conforming to this RFP/RFQ, is (are) most advantageous to the Borough, price and other factors considered.

OFFICE LOCATION – Please list complete address of servicing location:

PLEASE NOTE: EACH SPECIALTY APPLIED FOR MUST HAVE ITS OWN SUBMISSION WITH ALL REQUIRED DOCUMENTS. ONE SUBMISSION NOTING SEVERAL SPECIALTIES WILL NOT BE ACCEPTED.

STATEMENT OF OWNERSHIP DISCLOSURE

N.J.S.A. 52:25-24.2 (P.L. 1977, c.33, as amended by P.L. 2016, c.43)

This statement shall be completed, certified to, and included with all bid and proposal submissions. Failure to submit the required information is cause for automatic rejection of the bid or proposal.

Name of Organization: _____

Organization Address: _____

Part I Check the box that represents the type of business organization:

- ☐ Sole Proprietorship (skip Parts II and III, execute certification in Part IV)
- ☐ Non-Profit Corporation (skip Parts II and III, execute certification in Part IV)
- ☐ For-Profit Corporation (any type) ☐ Limited Liability Company (LLC)
- ☐ Partnership ☐ Limited Partnership ☐ Limited Liability Partnership (LLP)
- ☐ Other (be specific): _____

Part II

- ☐ The list below contains the names and addresses of all stockholders in the corporation who own 10 percent or more of its stock, of any class, or of all individual partners in the partnership who own a 10 percent or greater interest therein, or of all members in the limited liability company who own a 10 percent or greater interest therein, as the case may be. **(COMPLETE THE LIST BELOW IN THIS SECTION)**

OR

- ☐ No one stockholder in the corporation owns 10 percent or more of its stock, of any class, or no individual partner in the partnership owns a 10 percent or greater interest therein, or no member in the limited liability company owns a 10 percent or greater interest therein, as the case may be. **(SKIP TO PART IV)**

(Please attach additional sheets if more space is needed):

Name of Individual or Business Entity	Address

Part III DISCLOSURE OF 10% OR GREATER OWNERSHIP IN THE STOCKHOLDERS, PARTNERS OR LLC MEMBERS LISTED IN PART II

If a bidder has a direct or indirect parent entity which is publicly traded, and any person holds a 10 percent or greater beneficial interest in the publicly traded parent entity as of the last annual federal Security and Exchange Commission (SEC) or foreign equivalent filing, ownership disclosure can be met by providing links to the website(s) containing the last annual filing(s) with the federal Securities and Exchange Commission (or foreign equivalent) that contain the name and address of each person holding a 10% or greater beneficial interest in the publicly traded parent entity, along with the relevant page numbers of the filing(s) that contain the information on each such person. **Attach additional sheets if more space is needed.**

Website (URL) containing the last annual SEC (or foreign equivalent) filing	Page #'s

Please list the names and addresses of each stockholder, partner or member owning a 10 percent or greater interest in any corresponding corporation, partnership and/or limited liability company (LLC) listed in Part II **other than for any publicly traded parent entities referenced above.** The disclosure shall be continued until names and addresses of every non-corporate stockholder, and individual partner, and member exceeding the 10 percent ownership criteria established pursuant to N.J.S.A. 52:25-24.2 has been listed. **Attach additional sheets if more space is needed.**

Stockholder/Partner/Member and Corresponding Entity Listed in Part II	Address

Part IV Certification

I, being duly sworn upon my oath, hereby represent that the foregoing information and any attachments thereto to the best of my knowledge are true and complete. I acknowledge: that I am authorized to execute this certification on behalf of the bidder/proposer; that the ***Borough of Atlantic Highlands*** is relying on the information contained herein and that I am under a continuing obligation from the date of this certification through the completion of any contracts with ***Borough of Atlantic Highlands*** to notify the ***Borough of Atlantic Highlands*** in writing of any changes to the information contained herein; that I am aware that it is a criminal offense to make a false statement or misrepresentation in this certification, and if I do so, I am subject to criminal prosecution under the law and that it will constitute a material breach of my agreement(s) with the, permitting the ***Borough of Atlantic Highlands*** to declare any contract(s) resulting from this certification void and unenforceable.

Full Name (Print):		Title:	
Signature:		Date:	

**BOROUGH OF ATLANTIC HIGHLANDS
MONMOUTH COUNTY, NEW JERSEY
NON-COLLUSION AFFIDAVIT**

State of New Jersey County of _____ ss:

I _____ residing in _____ in the County of _____
(name of affiant) (name of municipality)

_____ and State of _____ of full age, being duly sworn according to law on my
oath depose and say that:

I am _____ of the firm of _____, the bidder making
this (title or position) (name of firm)

Proposal for the bid proposal entitled _____, and that I executed the said Proposal with
(title of bid proposal)

full authority to do so that said bidder had not, directly or indirectly entered into any agreement(s), participated in
any collusion, or otherwise taken any action in restraint of free, competitive bidding in connection with the above
named project; and that all statements contained in said Proposal and in this affidavit are true and correct, and made
with full knowledge that the _____ relies upon the truth of the statements contained
(name of contracting unit)

in said Proposal and in this affidavit in awarding the contract for the said Project.

I further warrant that no person or selling agency has been employed or retained to solicit or secure such contract
upon an agreement or understanding for a commission, percentage, brokerage, or contingent fee, except bona fide
employees or bona fide established commercial or selling agencies maintained by

Signature

Type or print name of affiant under signature

Subscribed and sworn to before me this _____ day of _____,
_____, 20_____
_____.

Notary Public Signature

My Commission expires _____ 20____.

**BOROUGH OF ATLANTIC HIGHLANDS
MONMOUTH COUNTY, NEW JERSEY**

BUSINESS REGISTRATION OF PUBLIC BIDDERS

BUSINESS REGISTRATION CERTIFICATE

The New Jersey State Bidder Business Registration Program to local government contracts requires any "Business Organization" (meaning individual, partnership, association, joint stock company, trust, corporation, or other legal business entity or successor thereof) to submit a copy of their Business Registration Certificate when submitting a bid or proposal OR prior to award.

FAILURE TO DO SO IS A FATAL DEFECT THAT CANNOT BE CURED

GOODS AND SERVICES CONTRACTS

N.J.S.A. 52:32-44 imposes the following requirements on Bidders and all subcontractors that knowingly provide goods or perform services for a Bidder fulfilling this contract:

1. the Bidder shall provide written notice to its subcontractors to submit proof of business registration to the Bidder;
2. prior to receipt of final payment from a contracting agency, a Bidder must submit to the contracting agency an accurate list of all subcontractors or attest that none was used;
3. during the term of this contract, the Bidder and its affiliates shall collect and remit, and shall notify all subcontractors and their affiliates that they must collect and remit to the Director, New Jersey Division of Taxation, the use tax due pursuant to the Sales and Use Tax Act, (N.J.S.A. 54:32B-1 et seq.) on all sales of tangible personal property delivered into this State.

A Bidder, subcontractor or supplier who fails to provide proof of business registration or provides false business registration information shall be liable to a penalty of \$25 for each day of violation, not to exceed \$50,000 for each business registration not properly provided or maintained under a contract with a contracting agency. Information on the law and its requirements are available by calling (609) 292-9292.

STATE OF NEW JERSEY
BUSINESS REGISTRATION CERTIFICATE
FOR STATE AGENCY AND CASINO SERVICE CONTRACTORS

TAXPAYER NAME: TAX REG TEST ACCOUNT
TAXPAYER IDENTIFICATION: 970-087-382/500
ADDRESS: 847 ROEBLING AVE
TRENTON, NJ 08611
EFFECTIVE DATE: 01/01/01
FORM NJRC(BE-01)

TRADE NAME: CLIENT REGISTRATION
SEQUENCE NUMBER: 0107130
ISSUANCE DATE: 07/14/04

DEPARTMENT OF TREASURY
DIVISION OF REVENUE
PO BOX 380
TRENTON, NJ 08646

This Certificate is NOT assignable or transferable. It must be continuously employed at above address.

STATE OF NEW JERSEY
BUSINESS REGISTRATION CERTIFICATE

Taxpayer Name: TAX REG TEST ACCOUNT
Trade Name:
Address: 847 ROEBLING AVE
TRENTON, NJ 08611
Certificate Number: 1093907
Date of Issuance: October 14, 2004

For Office Use Only:
20041014112823533

SAMPLE OF BUSINESS
REGISTRATION CERTIFICATE
(original and downloaded
version)

EITHER OF THE CERTIFICATES TO
THE LEFT IS ACCEPTABLE AND
RESPONSIVE

Disclosure of Investment Activities in Iran

Person or Entity

Part 1: Certification

COMPLETE PART 1 BY CHECKING EITHER BOX.

Pursuant to Public Law 2012, c. 25, any person or entity that is a successful bidder or proposer, or otherwise proposes to enter into or renew a contract, must complete the certification below to attest, under penalty of perjury, that neither the person or entity, nor any parent entity, subsidiary, or affiliate is identified on the State Department of Treasury's Chapter 25 list as a person or entity engaging in investment activities in Iran. The list is found on Treasury's website at www.state.nj.us/treasury/purchase/pdf/Chapter25List.pdf.

The Chapter 25 list must be reviewed prior to completing the below certification. If a vendor or contractor is found to be in violation of law, action may be taken as appropriate and as may provided by law, rule or contract, including but not limited to imposing sanctions, seeking compliance, recovering damages, declaring the party in default and seeking debarment or suspension of the party.



I certify, pursuant to Public Law 2012, c. 25, that neither the person or entity listed above, nor any parent entity, subsidiary, or affiliate thereof is listed on the N.J. Department of the Treasury's list of entities determined to be engaged in prohibited activities in Iran pursuant to P.L. 2012, c. 25 ("Chapter 25 List"). I further certify that I am the person listed above, or I am an officer or representative of the entity listed above and am authorized to make this certification on its behalf. I will skip Part 2 and sign and complete the Certification below.

OR



I am unable to certify as above because the person or entity and/or a parent entity, subsidiary, or affiliate thereof is listed on the N.J. Department of the Treasury's Chapter 25 list. I will provide a detailed, accurate and precise description of the activities in Part 2 below sign and complete the Certification below.

Part 2: Additional Information

PLEASE PROVIDE FURTHER INFORMATION RELATED TO INVESTMENT ACTIVITIES IN IRAN.

You must provide a detailed, accurate and precise description of the activities of the person or entity, or a parent entity, subsidiary, or affiliate thereof engaging in investment activities in Iran below and, if more space is needed, on additional sheets provided by you.

Part 3: Certification of True and Complete Information

I, being duly sworn upon my oath, hereby represent and state that the foregoing information and any attachments there to the best of my knowledge are true and complete. I attest that I am authorized to execute this certification on behalf of the above-referenced person or entity.

*I acknowledge that the **Name of Contracting Unit** is relying on the information contained herein and thereby acknowledge that I am under a continuing obligation from the date of this certification through the completion of any contracts with the **Reference to Contracting Unit** to notify the **Reference to Contracting Unit** in writing of any changes to the answers of information contained herein.*

*I acknowledge that I am aware that it is a criminal offense to make a false statement or misrepresentation in this certification, and if I do so, I recognize that I am subject to criminal prosecution under the law and that it will also constitute a material breach of my agreement(s) with the **Name of Contracting Unit** and that the **Reference to Contracting Unit** at its option may declare any contract(s) resulting from this certification void and unenforceable.*

Full Name (Print)		Title	
Signature		Date	



CERTIFICATION OF NON-INVOLVEMENT IN PROHIBITED ACTIVITIES IN RUSSIA OR BELARUS

Pursuant to N.J.S.A. 52:32-60.1, et seq. ([L. 2022, c. 3](#)) any person or entity (hereinafter "Vendor"ⁱ) that seeks to enter into or renew a contract with a State agency for the provision of goods or services, or the purchase of bonds or other obligations, must complete the certification below indicating whether or not the Vendor is identified on the Office of Foreign Assets Control (OFAC) Specially Designated Nationals and Blocked Persons list, available here: <https://sanctionssearch.ofac.treas.gov/>. If the Department of the Treasury finds that a Vendor has made a certification in violation of the law, it shall take any action as may be appropriate and provided by law, rule or contract, including but not limited to, imposing sanctions, seeking compliance, recovering damages, declaring the party in default and seeking debarment or suspension of the party.

I, the undersigned, certify that I have read the definition of "Vendor" below, and have reviewed the Office of Foreign Assets Control (OFAC) Specially Designated Nationals and Blocked Persons list, and having done so certify:

(Check the Circle the Appropriate letter)

- A. That the Vendor is not identified on the [OFAC Specially Designated Nationals and Blocked Persons list on account of activity related to Russia and/or Belarus](#).

OR

- B. That I am unable to certify as to "A" above, because the Vendor is identified on the [OFAC Specially Designated Nationals and Blocked Persons list on account of activity related to Russia and/or Belarus](#).

OR

- C. That I am unable to certify as to "A" above, because the Vendor is identified on the [OFAC Specially Designated Nationals and Blocked Persons list](#). However, the Vendor is engaged in activity related to Russia and/or Belarus consistent with federal law, regulation, license or exemption. A detailed description of how the Vendor's activity related to Russia and/or Belarus is consistent with federal law is set forth below.

(Attach Additional Sheets If Necessary.)

Signature of Vendor's Authorized Representative	Date
Print Name and Title of Vendor's Authorized Representative	Vendor's FEIN
Vendor's Name	Vendor's Phone Number
Vendor's Address (Street Address)	Vendor's Fax Number
Vendor's Address (City/State/Zip Code)	Vendor's Email Address

ⁱ Vendor means: (1) A natural person, corporation, company, limited partnership, limited liability partnership, limited liability company, business association, sole proprietorship, joint venture, partnership, society, trust, or any other nongovernmental entity, organization, or group; (2) Any governmental entity or instrumentality of a government, including a multilateral development institution, as defined in Section 1701(c)(3) of the International Financial Institutions Act, 22 U.S.C. 262r(c)(3); or (3) Any parent, successor, subunit, direct or indirect subsidiary, or any entity under common ownership or control with, any entity described in paragraph (1) or (2).

CERTIFICATION OF NON-DEBARMENT FOR FEDERAL GOVERNMENT CONTRACTS

N.J.S.A. 52:32-44.1 (P.L. 2019, c.406)

This certification shall be completed, certified to, and submitted to the contracting unit prior to contract award, except for emergency contracts where submission is required prior to payment.

PART I: VENDOR INFORMATION	
Individual or Organization Name	
Physical Address of Individual or Organization	
Unique Entity ID (if applicable)	
CAGE/NCAGE Code (if applicable)	
Check the box that represents the type of business organization:	

- ☐ Sole Proprietorship (skip Parts III and IV) ☐ Non-Profit Corporation (skip Parts III and IV)
☐ For-Profit Corporation (any type) ☐ Limited Liability Company (LLC)
☐ Limited Partnership ☐ Partnership ☐ Limited Liability Partnership (LLP)
☐ Other (be specific): _____

PART II – CERTIFICATION OF NON-DEBARMENT: Individual or Organization			
I hereby certify that the individual or organization listed above in Part I is not debarred by the federal government from contracting with a federal agency. I further acknowledge: that I am authorized to execute this certification on behalf of the above-named organization; that Princeton is relying on the information contained herein and that I am under a continuing obligation from the date of this certification through the date of contract award by the municipality to notify the municipality in writing of any changes to the information contained herein; that I am aware that it is a criminal offense to make a false statement or misrepresentation in this certification, and if I do so, I am subject to criminal prosecution under the law and that it will constitute a material breach of my agreement(s) with the municipality, permitting the municipality to declare any contract(s) resulting from this certification void and unenforceable.			
Full Name (Print):		Title:	
Signature:		Date:	
PART III – CERTIFICATION OF NON-DEBARMENT: Individual or Entity Owning Greater than 50 Percent of Organization			
Section A (Check the Box that applies)			
<input type="checkbox"/>	Below is the name and address of the stockholder in the corporation who owns more than 50 percent of its voting stock, or of the partner in the partnership who owns more than 50 percent interest therein, or of the member of the limited liability company owning more than 50 percent interest therein, as the case may be.		
Name of Individual or Organization			
Physical Address			

OR			
<input type="checkbox"/>		No one stockholder in the corporation owns more than 50 percent of its voting stock, or no partner in the partnership owns more than 50 percent interest therein, or no member in the limited liability company owns more than 50 percent interest therein, as the case may be.	
Section B (Skip if no Business entity is listed in Section A above)			
<input type="checkbox"/>		Below is the name and address of the stockholder in the corporation who owns more than 50 percent of the voting stock of the organization's parent entity, or of the partner in the partnership who owns more than 50 percent interest in the organization's parent entity, or of the member of the limited liability company owning more than 50 percent interest in organization's parent entity, as the case may be.	
Stockholder/Partner/Member Owning Greater Than 50 Percent of Parent Entity			
Physical Address			
OR			
<input type="checkbox"/>		No one stockholder in the parent entity corporation owns more than 50 percent of its voting stock, no partner in the parent entity partnership owns more than 50 percent interest therein, or no member in the parent entity limited liability company owns more than 50 percent interest therein, as the case may be.	
Section C – Part III Certification			
I hereby certify that no individual or organization that is debarred by the federal government from contracting with a federal agency owns greater than 50 percent of the Organization listed above in Part I or, if applicable, owns greater than 50 percent of a parent entity Princeton. I further acknowledge: that I am authorized to execute this certification on behalf of the above-named organization; that Princeton is relying on the information contained herein and that I am under a continuing obligation from the date of this certification through the date of contract award by the municipality to notify the municipality in writing of any changes to the information contained herein; that I am aware that it is a criminal offense to make a false statement or misrepresentation in this certification, and if I do so, I am subject to criminal prosecution under the law and that it will constitute a material breach of my agreement(s) with the municipality, permitting the municipality to declare any contract(s) resulting from this certification void and unenforceable.			
Full Name (Print):			Title:
Signature:			Date:
Part IV – CERTIFICATION OF NON-DEBARMENT: Contractor – Controlled Entities			
Section A			
<input type="checkbox"/>		Below is the name and address of the corporation(s) in which the Organization listed in Part I owns more than 50 percent of voting stock, or of the partnership(s) in which the Organization listed in Part I owns more than 50 percent interest therein, or of the limited liability company or companies in which the Organization listed above in Part I owns more than 50 percent interest therein, as the case may be.	

Name of Business Entity		Physical Address	
OR			
<input type="checkbox"/>		The Organization listed above in Part I does not own greater than 50 percent of the voting stock in any corporation and does not own greater than 50 percent interest in any partnership or any limited liability company.	
Section B (skip if no business entities are listed in Section A of Part IV)			
<input type="checkbox"/>		Below are the names and addresses of any entities in which an entity listed in Part III A owns greater than 50 percent of the voting stock (corporation) or owns greater than 50 percent interest (partnership or limited liability company).	
Name of Business Entity Controlled by Entity Listed in Section A of Part IV		Physical Address	
OR			
<input type="checkbox"/>		No entity listed in Part III A owns greater than 50 percent of the voting stock in any corporation or owns greater than 50 percent interest in any partnership or limited liability company.	
Section C – Part IV Certification			
<p>I hereby certify that the Organization listed above in Part I does not own greater than 50 percent of any entity that that is debarred by the federal government from contracting with a federal agency and, if applicable, does not own greater than 50 percent of any entity that in turns owns greater than 50 percent of any entity debarred by the federal government from contracting with a federal agency. I further acknowledge: that I am authorized to execute this certification on behalf of the above-named organization; that Princeton is relying on the information contained herein and that I am under a continuing obligation from the date of this certification through the date of contract award by the municipality to notify the municipality in writing of any changes to the information contained herein; that I am aware that it is a criminal offense to make a false statement or misrepresentation in this certification, and if I do so, I am subject to criminal prosecution under the law and that it will constitute a material breach of my agreement(s) with the municipality, permitting the municipality to declare any contract(s) resulting from this certification void and unenforceable.</p>			
Full Name (Print):		Title:	
Signature:		Date:	

EXHIBIT A
MANDATORY EQUAL EMPLOYMENT OPPORTUNITY LANGUAGE
N.J.S.A. 10:5-31 et seq. (P.L.1975, c.127) N.J.A.C. 17:27 et seq.

GOODS, GENERAL SERVICES, AND PROFESSIONAL SERVICES CONTRACTS

During the performance of this contract, the contractor agrees as follows:

The contractor or subcontractor, where applicable, will not discriminate against any employee or applicant for employment because of age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability, nationality or sex. Except with respect to affectional or sexual orientation and gender identity or expression, the contractor will ensure that equal employment opportunity is afforded to such applicants in recruitment and employment, and that employees are treated during employment, without regard to their age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability, nationality or sex. Such equal employment opportunity shall include, but not be limited to the following: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided by the Public Agency Compliance Officer setting forth provisions of this nondiscrimination clause.

The contractor or subcontractor, where applicable will, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive consideration for employment without regard to age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability, nationality or sex.

The contractor or subcontractor will send to each labor union, with which it has a collective bargaining agreement, a notice, to be provided by the agency contracting officer, advising the labor union of the contractor's commitments under this chapter and shall post copies of the notice in conspicuous places available to employees and applicants for employment.

The contractor or subcontractor, where applicable, agrees to comply with any regulations promulgated by the Treasurer pursuant to N.J.S.A. 10:5-31 et seq., as amended and supplemented from time to time and the Americans with Disabilities Act.

The contractor or subcontractor agrees to make good faith efforts to meet targeted county employment goals established in accordance with N.J.A.C. 17:27-5.2.

The contractor or subcontractor agrees to inform in writing its appropriate recruitment agencies including, but not limited to, employment agencies, placement bureaus, colleges, universities, and labor unions, that it does not discriminate on the basis of age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability, nationality or sex, and that it will discontinue the use of any recruitment agency which engages in direct or indirect discriminatory practices.

The contractor or subcontractor agrees to revise any of its testing procedures, if necessary, to assure that all personnel testing conforms with the principles of job-related testing, as established by the statutes and court decisions of the State of New Jersey and as established by applicable Federal law and applicable Federal court decisions.

In conforming with the targeted employment goals, the contractor or subcontractor agrees to review all procedures relating to transfer, upgrading, downgrading and layoff to ensure that all such actions are taken without regard to

age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability, nationality or sex, consistent with the statutes and court decisions of the State of New Jersey, and applicable Federal law and applicable Federal court decisions.

The contractor shall submit to the public agency, after notification of award but prior to execution of a goods and services contract, one of the following three documents:

1. Letter of Federal Affirmative Action Plan Approval;
2. Certificate of Employee Information Report; or
3. Employee Information Report Form AA-302 (electronically provided by the Division and distributed to the public agency through the Division's website at www.state.nj.us/treasury/contract_compliance).

The contractor and its subcontractors shall furnish such reports or other documents to the Division of Purchase & Property, CCAU, EEO Monitoring Program as may be requested by the office from time to time in order to carry out the purposes of these regulations, and public agencies shall furnish such information as may be requested by the Division of Purchase & Property, CCAU, EEO Monitoring Program for conducting a compliance investigation pursuant to N.J.A.C. 17:27-1.1 et seq.

EXECUTIVE ORDER NO. 151 REQUIREMENTS

It is the policy of the Division of Purchase and Property that its contracts should create a workforce that reflects the diversity of the State of New Jersey. Therefore, contractors engaged by the Division of Purchase and Property to perform under a construction contract shall put forth a good faith effort to engage in recruitment and employment practices that further the goal of fostering equal opportunities to minorities and women.

The contractor must demonstrate to the Division of Purchase and Property's satisfaction that a good faith effort was made to ensure that minorities and women have been afforded equal opportunity to gain employment under the Division of Purchase and Property's contract with the contractor. Payment may be withheld from a contractor's contract for failure to comply with these provisions.

Evidence of a "good faith effort" includes, but is not limited to:

The Contractor shall recruit prospective employees through the State Job bank website, managed by the Department of Labor and Workforce Development, available online at <http://NJ.gov/JobCentralNJ>;

The Contractor shall keep specific records of its efforts, including records of all individuals interviewed and hired, including the specific numbers of minorities and women;

The Contractor shall actively solicit and shall provide the Division of Purchase and Property with proof of solicitations for employment, including but not limited to advertisements in general circulation media, professional service publications and electronic media; and

The Contractor shall provide evidence of efforts described at 2 above to the Division of Purchase and Property no less frequently than once every 12 months.

The Contractor shall comply with the requirements set forth at N.J.A.C. 17:27.

This language is in addition to and does not replace good faith efforts requirements for construction contracts required by N.J.A.C. 17:27-3.6, 3.7 and 3.8, also known as Exhibit B.

AMERICANS WITH DISABILITIES ACT OF 1990
Equal Opportunity for Individuals with Disability

The contractor and the Borough of Atlantic Highlands of Atlantic Highlands, NJ, (hereafter "owner") do hereby agree that the provisions of Title 11 of the Americans With Disabilities Act of 1990 (the "Act") (42 U.S.C. S121 01 et seq.), which prohibits discrimination on the basis of disability by public entities in all services, programs, and activities provided or made available by public entities, and the rules and regulations promulgated pursuant there unto, are made a part of this contract. In providing any aid, benefit, or service on behalf of the owner pursuant to this contract, the contractor agrees that the performance shall be in strict compliance with the Act. In the event that the contractor, its agents, servants, employees, or subcontractors violate or are alleged to have violated the Act during the performance of this contract, the contractor shall defend the owner in any action or administrative proceeding commenced pursuant to this Act. The contractor shall indemnify, protect, and save harmless the owner, its agents, servants, and employees from and against any and all suits, claims, losses, demands, or damages, of whatever kind or nature arising out of or claimed to arise out of the alleged violation. The contractor shall, at its own expense, appear, defend, and pay any and all charges for legal services and any and all costs and other expenses arising from such action or administrative proceeding or incurred in connection therewith. In any and all complaints brought pursuant to the owner's grievance procedure, the contractor agrees to abide by any decision of the owner which is rendered pursuant to said grievance procedure. If any action or administrative proceeding results in an award of damages against the owner, or if the owner incurs any expense to cure a violation of the ADA which has been brought pursuant to its grievance procedure, the contractor shall satisfy and discharge the same at its own expense.

The owner shall, as soon as practicable after a claim has been made against it, give written notice thereof to the contractor along with full and complete particulars of the claim, If any action or administrative proceeding is brought against the owner or any of its agents, servants, and employees, the *owner shall* expeditiously forward or have forwarded to the contractor every demand, complaint, notice, summons, pleading, or other process received by the owner or its representatives.

It is expressly agreed and understood that any approval by the owner of the services provided by the contractor pursuant to this contract will not relieve the contractor of the obligation to comply with the Act and to defend, indemnify, protect, and save harmless the owner pursuant to this paragraph.

It is further agreed and understood that the owner assumes no obligation to indemnify or save harmless the contractor, its agents, servants, employees and subcontractors for any claim which may arise out of their performance of this Agreement. Furthermore, the contractor expressly understands and agrees that the provisions of this indemnification clause shall in no way limit the contractor's obligations assumed in this Agreement, nor shall they be construed to relieve the contractor from any liability, nor preclude the owner from taking any other actions available to it under any other provisions of the Agreement or otherwise at law.

REQUIRED EVIDENCE AFFIRMATIVE ACTION REGULATIONS

N.J.S.A. 10:5-31 and N.J.A.C. 17:27

GOODS AND SERVICES CONTRACT
(INCLUDING PROFESSIONAL SERVICES)

This form is a summary of the successful bidder's requirement to comply with the requirement of comply with the requirements of N.J.S.A. 10:5-31 and N.J.A.C. 17:27 et seq.

The successful bidder shall submit to the public agency, after notification of award but prior to execution of this contract, one of the following three documents as forms of evidence;

1. A photocopy of a valid letter from the U.S. Department of Labor that the Bidder has an existing federally-approved or sanctioned Affirmative Action Plan (good for one year from the date of the letter).
OR
2. A photocopy of approved Certificate of Employee Information Report issued in accordance with N.J.A.C. 17:27-4;
OR
3. An Employee information Report (Form AA302) completed with a copy to the N.J. Department of the Treasury with the appropriate fee.

NO FIRM MAY BE ISSUED A CONTRACT UNLESS IT COMPLIES WITH THE AFFIRMATIVE ACTION REGULATIONS OF N.J.S.A. 10:5-31 et seq. and N.J.A.C. 17:27-1.

The following questions must be answered by all bidders:

1. Do you have a federally-approved or sanctioned Affirmative Action Program?
YES _____ NO _____
If yes, please submit a copy of such approval.
2. Do you have a Certificate of Employee Information Report Approval?
YES _____ NO _____
If yes, please submit a copy of such approval.

The undersigned Bidder certifies that he is aware of the commitment to comply with the requirements of P.L.1975, c.127 and agrees to furnish the required documentation pursuant to the law.

COMPANY: _____ SIGNATURE: _____

TITLE: _____

Note: A professional's bid must be rejected as non-responsive if a Bidder fails to comply with requirements of N.J.S.A. 10:5-31 et seq. and N.J.A.C. 17:27, within the time frame.

REFERENCES: Please provide a list of three (3) clients for whom similar services have been provided.

Name: _____ Title: _____

Address: _____

Contact Name: _____

Telephone: _____ Email: _____

Dates Worked & Services Provided: _____

Name: _____ Title: _____

Address: _____

Contact Name: _____

Telephone: _____ Email: _____

Dates Worked & Services Provided: _____

Name: _____ Title: _____

Address: _____

Contact Name: _____

Telephone: _____ Email: _____

Dates Worked & Services Provided: _____
